

Greenplum Database 4.3.31.0 Release Notes

Rev: A01

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Welcome to Pivotal Greenplum Database 4.3.31.0

Greenplum Database is a massively parallel processing (MPP) database server that supports next generation data warehousing and large-scale analytics processing. By automatically partitioning data and running parallel queries, it allows a cluster of servers to operate as a single database supercomputer performing tens or hundreds times faster than a traditional database. It supports SQL, MapReduce parallel processing, and data volumes ranging from hundreds of gigabytes, to hundreds of terabytes.

Important: For Greenplum Database 4.3.16.0 and later, the pgcrypto extension has been updated to package version pv1.3.

- Previous releases of the pgcrypto extension are not compatible with Greenplum Database 4.3.16.0 and later.
- The pgcrypto extension package version pv1.3 is not compatible with previous Greenplum Database releases.

For information about the pgcrypto extension package, see Greenplum Database Extensions.

Note: This document contains pertinent release information about Greenplum Database 4.3.31.0. For previous versions of the release notes for Greenplum Database, go to *Pivotal Greenplum Database Documentation*. For information about Greenplum Database end of life, see the *Pivotal Support Lifecycle Policy*.

Important: Pivotal Support does **not** provide support for open source versions of Greenplum Database. Only Pivotal Greenplum Database is supported by Pivotal Support.

About Greenplum Database 4.3.31.0

Greenplum Database 4.3.31.0 is a patch release that resolves some issues. Please refer to the following sections for more information about this release.

- Changed Features
- Experimental Features
- Downloading Greenplum Database
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Changed Features

Greenplum Database 4.3.31.0 includes these changed features.

Improvement for S3 Credentials for S3 Plugin

In Greenplum Database 4.3.31.0, the S3 storage plugin for <code>gpbackup</code> and <code>gprestore</code> no longer requires the S3 credentials in the plugin configuration file. The configuration file parameters <code>aws access key id and aws secret access key are optional.</code>

If the S3 credentials are not specified in the configuration file, the S3 plugin uses S3 authentication information from the system environment of the session running the backup operation. The S3 plugin searches for the information in these sources, using the first available source.

- 1. The environment variables AWS_ACCESS_KEY_ID and AWS_SECRET_ACCESS_KEY.
- 2. The authentication information set with the AWS CLI command aws configure.
- 3. The credentials of the Amazon EC2 IAM role if the backup is run from an EC2 instance.

For information about the S3 storage plugin, see *Using the S3 Storage Plugin with gpbackup and gprestore* in the *Greenplum Database Administrator Guide*.

The gprestore utility has improved how Greenplum Database errors are handled when the utility
creates database metadata such as tables, roles, or functions. This allows the utility to complete in
more situations when the --on-error-continue option is specified.

Experimental Features

Because Pivotal Greenplum Database is based on the open source *Greenplum Database project* code, it includes experimental features to allow interested developers to experiment with their use on development systems. Feedback will help drive development of these features, and they may become supported in future versions of the product.

Warning: Experimental features are not recommended or supported for production deployments. These features may change in or be removed from future versions of the product based on further testing and feedback. Moreover, any features that may be visible in the open source code but that are not described in the product documentation should be considered experimental and unsupported for production use.

Greenplum Database 4.3.31.0 includes this experimental feature:

• Storage plugin framework API. Partners, customers, and OSS developers can develop plugins to use in conjunction with gpbackup and gprestore.

For information about the storage plugin API, see *Backup/Restore Storage Plugin API* in the *Pivotal Greenplum Database Documentation*.

Downloading Greenplum Database

These are the locations of the Greenplum Database software and documentation:

- Greenplum Database 4.3.x software is available from the Pivotal Greenplum page on Pivotal Network.
- Current release Greenplum Database documentation is available from the Pivotal Greenplum Database Documentation site.

Supported Platforms

Greenplum Database 4.3.31.0 runs on the following platforms:

- Red Hat Enterprise Linux 64-bit 7.x (See the following Note)
- Red Hat Enterprise Linux 64-bit 6.x
- Red Hat Enterprise Linux 64-bit 5.x
- SuSE Linux Enterprise Server 64-bit 11 SP1, 11 SP2, 11 SP4 (deprecated)
- Oracle Unbreakable Linux 64-bit 5.5
- CentOS 64-bit 7.x
- CentOS 64-bit 6.x
- CentOS 64-bit 5.x

Note: For the supported Linux operating systems, Pivotal Greenplum Database is supported on system hosts using either AMD or Intel CPUs based on the x86-64 architecture. Pivotal recommends using a homogeneous set of hardware (system hosts) in a Greenplum Database system.

Note: For Greenplum Database that is installed on Red Hat Enterprise Linux 7.x or CentOS 7.x prior to 7.3, an operating system issue might cause Greenplum Database that is running large workloads to hang in the workload. The Greenplum Database issue is caused by Linux kernel bugs.

RHEL 7.3 and CentOS 7.3 resolves the issue.

Note: Support for SuSE Linux Enterprise Server 64-bit 10 SP4 has been dropped for Greenplum Database 4.3.9.0 and later releases.

Greenplum Database 4.3.x supports these Java versions:

- 8.xxx
- 7.xxx
- 6.xxx

Greenplum Database 4.3.31.0 software that runs on Linux systems uses OpenSSL 1.0.2l (with FIPS 2.0.16), cURL 7.54, OpenLDAP 2.4.44, and Python 2.6.9.

Greenplum Database client software that runs on Windows and AIX systems uses OpenSSL 0.9.8zg.

The Greenplum Database s3 external table protocol supports these data sources:

- Amazon Simple Storage Service (Amazon S3)
- Dell EMC Elastic Cloud Storage (ECS), an Amazon S3 compatible service

Greenplum Database 4.3.x supports Data Domain Boost on Red Hat Enterprise Linux.

This table lists the versions of Data Domain Boost SDK and DDOS supported by Greenplum Database 4.3.x.

Table 1: Data Domain Boost Compatibility

Greenplum Database	Data Domain Boost ¹	DDOS
4.3.31.0	3.3	6.1 (all versions)
4.3.30.4	3.0.0.3 ²	6.0 (all versions)
4.3.30.3		5.7 (all versions)
4.3.30.0		5.6 (all versions)
4.3.29.0		5.5 (all versions) ²
4.3.28.0		
4.3.27.0		
4.3.26.0		
4.3.25.1		
4.3.25.0		
4.3.24.0		
4.3.23.0		
4.3.22.0		
4.3.21.0		
4.3.20.0		
4.3.19.0		
4.3.18.0		
4.3.17.1	3.3	6.0 (all versions)
4.3.17.0	3.0.0.3 ²	5.7 (all versions)
4.3.16.1		5.6 (all versions)
4.3.16.0		5.5 (all versions) ²
4.3.15.0		
4.3.14.1		
4.3.14.0		
4.3.13.0		
4.3.12.0	3.0.0.3 ²	5.7 (all versions)
4.3.11.3		5.6 (all versions)
4.3.11.2		5.5 (all versions) ²
4.3.11.1		
4.3.10.0		

Greenplum Database	Data Domain Boost ¹	DDOS
4.3.9.1		
4.3.9.0		

Note: In addition to the DDOS versions listed in the previous table, Greenplum Database 4.3.9.0 and later supports all minor patch releases (fourth digit releases) later than the certified version.

¹The Greenplum Database utilities gpbackup and gprestore support Data Domain DD Boost File System Plugin (BoostFS) v1.1 with DDOS 6.0 or greater.

The gpbackup and gprestore utilities support using Dell EMC Data Domain Boost software with the DD Boost Storage Plugin.

²Support for Data Domain Boost 3.0.0.3 and DDOS 5.5 is deprecated. The DELL EMC end of Primary Support date is December 31, 2017.

Greenplum Database 4.3.31.0 supports Veritas NetBackup:

- NetBackup Master Server software.
 - NetBackup Master Server Version 7.7 and NetBackup Media Server Version 7.7
 - NetBackup Master Server Version 7.6 and NetBackup Media Server Version 7.6
 - NetBackup Master Server Version 7.5 and NetBackup Media Server Version 7.5
- NetBackup Client version: 7.1, 7.5, or 7.6.

Note: For NetBackup version 7.5 or 7.6, the client version that is installed and configured on the Greenplum Database hosts must match the NetBackup Server version that stores the Greenplum Database backup.

For NetBackup Client version 7.1, Greenplum Database supports only NetBackup Server Version 7.5.

Greenplum Database uses the NetBackup API (XBSA) to communicate with the NetBackup. Greenplum Database uses SDK version XBSA 1.1.0.

Greenplum Database support for NetBackup Client version 7.1 is deprecated. The NetBackup SDK library files for NetBackup version 7.1 will be removed from the Greenplum Database installation in a future release.

Greenplum Database support on DCA:

- Greenplum Database 4.3.x, all versions, is supported on DCA V3.
- Greenplum Database 4.3.x, all versions, is supported on DCA V2, and requires DCA software version 2.1.0.0 or greater due to known DCA software issues in older DCA software versions.

Informatica PowerCenter 10.2 and 9.x are certified with Greenplum Database 4.3.x, all versions.

Note: Greenplum Database 4.3.31.0 does not support the ODBC driver for Cognos Analytics V11.

In the next major release of Greenplum Database, connecting to IBM Cognos software with an ODBC driver will not be supported. Greenplum Database supports connecting to IBM Cognos software with a JDBC driver.

Pivotal recommends that you migrate to a version of IBM Cognos software that supports connectivity to Greenplum Database with a JDBC driver.

Supported Platform Notes

Important: When data loss is not acceptable for a Pivotal Greenplum Database cluster, master and segment mirroring must be enabled in order for the cluster to be supported by Pivotal. Without mirroring, system and data availability is not guaranteed, Pivotal will make best efforts to restore a

cluster in this case. For information about master and segment mirroring, see *About Redundancy* and *Failover* in the *Greenplum Database Administrator Guide*.

The following notes describe platform support for Greenplum Database. Please send any questions or comments to Pivotal Support at https://support.pivotal.io.

- The only file system supported for running Greenplum Database is the XFS file system. All other file systems are explicitly *not* supported by Pivotal.
- Greenplum Database is supported on all 1U and 2U commodity servers with local storage. Special purpose hardware that is not commodity *may* be supported at the full discretion of Pivotal Product Management based on the general similarity of the hardware to commodity servers.
- Greenplum Database is supported on network or shared storage if the shared storage is presented as a block device to the servers running Greenplum Database and the XFS file system is mounted on the block device. Network file systems are not supported. When using network or shared storage, Greenplum Database mirroring must be used in the same way as with local storage, and no modifications may be made to the mirroring scheme or the recovery scheme of the segments. Other features of the shared storage such as de-duplication and/or replication are not directly supported by Pivotal Greenplum Database, but may be used with support of the storage vendor as long as they do not interfere with the expected operation of Greenplum Database at the discretion of Pivotal.
- Greenplum Database is supported when running on virtualized systems, as long as the storage
 is presented as block devices and the XFS file system is mounted for the storage of the segment
 directories.
- A minimum of 10-gigabit network is required for a system configuration to be supported by Pivotal.
- Greenplum Database is supported on Amazon Web Services (AWS), Microsoft Azure, and Google Cloud Compute (GCP).
 - AWS For production workloads, r4.8xlarge and r4.16xlarge instance types with four 12TB ST1 EBS volumes for each segment host are supported.

Greenplum Database is supported on AWS servers using either Amazon instance store (Amazon uses the volume names ephemeral[0-20]) or Amazon Elastic Block Store (Amazon EBS) storage. If using Amazon instance store storage, the storage should be RAID of Amazon volumes.

EBS storage is more reliable and provides more features than ephemeral storage but if ephemeral storage is desired, d2.8xlarge is supported for production workloads. With d2.8xlarge and ephemeral storage, use four RAID 0 volumes. Amazon has no provisions to replace a bad drive. If a disk failure occurs, the node with the bad disk must be replaced.

Pivotal recommends using an Auto Scaling Group (ASG) to provision nodes in AWS. An ASG automatically replaces bad nodes and further automation can be added to automatically recover the Greenplum processes on the new nodes.

Deployment should be in a Placement Group within a single Availability Zone, and since Amazon recommends using the same instance type in a Placement Group, use a single instance type for all nodes, including the masters.

 Azure - For production workloads, Pivotal recommends configuring Standard_H8 instance type with 4 2TB disks and 2 segments per host, and recommend using 8 2TB disks and 4 segments per host with Standard_H16 instance type. Standard_H16 uses 8 2TB disks and 4 segments per host. This means software RAID 0 is required so that the number of volumes do not exceed the number of segments.

For Azure deployments, you must also configure the Greenplum Database system to not use port 65330. Add the following line to the sysctl.conf file on all Greenplum Database hosts.

```
net.ipv4.ip local reserved ports=65330
```

• GCP - For all workloads, n1-standard-8 and n1-highmem-8 are supported which are relatively small instance types. This is because of the disk performance in GCP forces the configuration to have just 2 segments per host but with many hosts to scale. Use pd-standard disks and the size of the disk is recommended to be 6 TB. For performance perspective, use a factor of 8 when determining how

- many nodes to deploy in GCP, so a 16 segment host cluster in AWS would require 128 nodes in GCP.
- For Red Hat Enterprise Linux 7.2 or CentOS 7.2, the default systemd setting RemoveIPC=yes removes IPC connections when non-system users logout. This causes the Greenplum Database utility gpinitsystem to fail with semaphore errors. To avoid this issue, see "Setting the Greenplum Recommended OS Parameters" in the *Greenplum Database Installation Guide*.

Resolved Issues in Greenplum Database 4.3.31.0

The table below lists issues that are now resolved in Pivotal Greenplum Database 4.3.31.0

For issues resolved in prior 4.3 releases, refer to the corresponding release notes. Release notes are available from the Pivotal Greenplum page on *Pivotal Network* or on the Pivotal Greenplum Database documentation site at *Release Notes*. A consolidated list of resolved issues for all 4.3 releases is also available on the documentation site.

Table 2: Resolved Issues in 4.3.31.0

Issue Number	Category	Resolved In	Description
29696	Query Planner	4.3.31.0	For some queries where the predicate contains a subquery that contains a comparison such as BETWEEN '2' AND '1', the Greenplum Database legacy optimizer incorrectly returned an error stating that no parameter was found for an initplan subquery. The legacy optimizer did not correctly handle the comparison when generating a query plan. This issue has been resolved. The legacy optimizer has improved the handling of comparisons in subqueries.
29692	gpbackup/ gprestore	4.3.31.0	In some cases, a <code>gprestore</code> command that specified the <code>restore-globals</code> command failed with an invalid syntax message for the timestamp <code>infinity-00</code> . The error occurred because <code>gpbackup</code> did not correctly backup a role when a role's expiration date and time is set to <code>infinity</code> .
			This issue has been resolved. Now <code>gpbackup</code> correctly backs up a role's expiration date and time in the specified situation.
29487	gpdbrestore	4.3.31.0	In some cases when restoring a partitioned table, the gpdbrestore utility logged a message stating that a constraint could not be dropped during the restore operation. The message was generated by an incorrect DROP command that the utility used to drop a partitioned table before recreating it.
			This issue has been resolved. The utility has been improved to not generate the message in the specified situation.

Issue Number	Category	Resolved In	Description
29358	Query Planner	4.3.31.0	The legacy query optimizer returned the error no relation entry for relid 0 for queries against a partitioned table, when the query contained a subquery that did not reference the partitioned table. The error occurred during query planning when the legacy optimizer did not correctly check the hierarchical relationship of a leaf table of the partitioned table.
			This issue has been resolved. The checking of leaf table relationships by the legacy optimizer has been improved for the specified type of queries.
162025531	gpbackup/ gprestore	4.3.31.0	In some cases when <code>gprestore</code> was run with the option <code>include-table</code> to restore tables that were defined with sequences, tables were not restored. The table was not restored if a sequence was altered so that the sequence was owned by the table (<code>ALTER SEQUENCEOWNED BY</code>). The <code>gpbackup</code> utility did not back up the specified type of table and sequence correctly. This issue has been resolved. The <code>gpbackup</code> utility has been improved to back up the specified table and sequence correctly.
161393615	gpbackup/ gprestore	4.3.31.0	The gpbackup utility did not correctly back up comments on an index that was created as a UNIQUE or PRIMARY KEY index.
			This issue has been resolved. Now the utility correctly backs up comments on the specified type of index.

Known Issues in Greenplum Database 4.3.31.0

This section lists the known issues in Greenplum Database 4.3.31.0. A workaround is provided where applicable.

For known issues discovered in previous 4.3.x releases, see the release notes available from the Pivotal Greenplum page on *Pivotal Network* or on the Pivotal Greenplum Database documentation site at *Release Notes*. For known issues discovered in other previous releases, including patch releases to Greenplum Database 4.2.x, 4.1 or 4.0.x, see the corresponding release notes, available from Dell EMC *Support Zone*

Table 3: All Known Issues in 4.3.31.0

Issue	Category	Description
29124	DDL	The CREATE TABLE AS command can create a table that is incorrectly defined with a distribution policy that contains duplicate columns as distribution keys. This issue has been resolved in Greenplum Database 5.10.0.
		This issues causes the <code>gprestore</code> utility to fail when the utility attempts to restore a table from a backup when the table is incorrectly defined with duplicate columns as distribution keys. See known issue <code>29395</code> .
29139	DML	In some cases for an append-optimized partitioned table, Greenplum Database acquires a ROW EXCLUSIVE lock on all leaf partitions of the table when inserting data directly into one of the leaf partitions of the table. The locks are acquired the first time Greenplum Database performs validation on the leaf partitions. When inserting data into one leaf partition, the locks are not acquired on the other leaf partitions as long as the validation information remains in memory. The issue does not occur for heap-storage partitioned tables.
29523	gptoolkit	An upgrade between minor releases does not update the template0 database, and in some cases, using these views in the gp_toolkit schema might cause issues if you create a database using template0 as the template database after you upgrade to Greenplum Database 5.11.0 or later.
		The gp_toolkit.gp_bloat_expected_pages view might incorrectly report that a root partition table is bloated even though root partition tables do not contain data if you upgrade from Greenplum Database 4.3.29.0 or earlier. The probability of the probabili
		The gp_toolkit.gp_bloat_diag view might return an integer out of range error in some cases if you upgrade from Greenplum Database 4.3.19.0 or earlier.
		For example, the issues might occur if you upgrade a Greenplum Database system from 4.3.19.0 or an earlier 5.x release and then run a gprestore operation with theredirect-db option to create a new database. The utility creates a new database using template0 as the template database.
		Workaround: You can update the views in the <code>gp_toolkit</code> schema in the new database. For information about checking and updating <code>gp_toolkit</code> , see <code>Update</code> for <code>gp_toolkit.gp_bloat_expected_pages</code> <code>Issue</code> and <code>Update</code> for <code>gp_toolkit.gp_bloat_diag</code> <code>Issue</code> .
29485	Catalog and Metadata	When a session creates temporary objects in a database, Greenplum Database might not the drop temporary objects when the session ends if the session terminates abnormally or is terminated from an administrator command.

Issue	Category	Description
29496	gpconfig	For a small number of server configuration parameters such as <code>log_min_messages</code> , the command <code>gpconfig -s < config_param></code> does not display the correct value of the parameter for the segment hosts when the value of the parameter on master is different than the value on the segments.
		For parameters with the set classification master, the utility displays the value set on the master for both master and segments (for information about set classifications, see Setting Parameters in the Pivotal Greenplum Database Documentation). For those parameters, the value on the master is passed as part of queries to segment instances. The SQL query that <code>gpconfig</code> runs to display the master and segment parameter values returns the master host value that is passed to the segment as part of the query.
		For a few parameters such as <code>log_min_messages</code> , segment instances use the segment host value specified in the <code>postgresql.conf</code> file at start up. The segment value can overridden for the scope of a query.
		Workaround: To display the parameter value specified in the postgresql.conf file on the master host and segment hosts, you can specify the gpconfig optionfile.
29395	DDL	The gpdbrestore or gprestore utility fails when the utility attempts to restore a table from a backup and the table is incorrectly defined with duplicate columns as distribution keys. The issue is caused when the gpcrondump or gpbackup utility backed up a table that is incorrectly defined. The CREATE TABLE AS command could create a table that is incorrectly defined with a distribution policy that contains duplicate columns as distribution keys.
29351	gptransfer	The gptransfer utility can copy a data row with a maximum length of 256 MB.
151135629	COPY	When the ON SEGMENT clause is specified, the COPY command does not support specifying a SELECT statement in the COPY TO command. However, this command completes successfully, but the files are not created on the segment hosts.
		COPY (SELECT * FROM testtbl) TO '/tmp/mytst <segid>' ON SEGMENT</segid>
150625402	Session Management	When the server configuration parameter <code>gp_strict_xml_parse</code> is set for a session and the session is idle for longer than <code>gp_vmem_idle_resource_timeout</code> , the value of <code>gp_strict_xml_parse</code> changes back to the value set for the system (or the database if the parameter is set for the database).

Issue	Category	Description
29064	Storage: DDL	The money datatype accepts out-of-range values as negative values, and no error message is displayed.
		Workaround: Use only in-range values for the money datatype (32-bit for Greenplum Database 4.x, or 64-bit for Greenplum Database 5.x). Or, use an alternative datatype such as numeric or decimal.
28947	Access Methods	A deadlock might occur on an append-optimized columnar table when a VACUUM operation and an INSERT operation are performed concurrently on the table.
		Workaround: If a deadlock condition occurs, terminate the INSERT operation to break the deadlock. To eliminate the possibility of encountering this issue, avoid concurrent VACUUM and INSERT operations.
26675	gpcrondump	During the transition from Daylight Saving Time to Standard Time, this sequence of events which might cause a gpcrondump backup operation to fail.
		If an initial backup is taken between 1:00AM and 2:00AM Daylight Saving Time, and a second backup is taken between 1:00AM and 2:00AM Standard Time, the second backup might fail if the first backup has a timestamp newer than the second.
		Pivotal recommends performing only a single backup between the hours of 1:00AM and 2:00AM on the days when the time changes:
		November 5, 2017November 4, 2018November 3, 2019
		If the failure scenario is encountered, it can be remedied by restarting the backup operation after 2:00AM Standard Time.
146542311	gpload	When running the Greenplum Database utility <code>gpload</code> on AIX systems, the utility returns an error if the YAML control file for utility contains a line that specifies the \ (backslash) as the escape character, <code>ESCAPE: '\'.</code> The error states that the \ at the end of a string could not be decoded.
		Workaround: To avoid the error, remove the line from the file, or specify the line without a character, ESCAPE:. The \ character is the default escape character. The line is not required in the file.
142743943	S3 External Tables	The s3 protocol might not handle the header row in data files properly in this situation:
		 A readable external table is defined with the s3 protocol and the HEADER option. The external table has been exchanged to be a leaf child table of a partitioned table.
		Queries against the partitioned table might return an error.

Issue	Category	Description
26591	Query Execution	For the Greenplum Database function <code>get_ao_compression_ratio()</code> , specifying a <code>null value</code> or the name of table that contains no rows causes a Greenplum Database PANIC.
		Workaround: Specify a non-null value or a table that contains rows.
115746399	Operating System	For Greenplum Database that is installed on Red Hat Enterprise Linux 7.x or CentOS 7.x prior to 7.3, an operating system issue might cause Greenplum Database that is running large workloads to hang in the workload. The Greenplum Database issue is caused by Linux kernel bugs. Workaround: RHEL 7.3 and CentOS 7.3 resolves the issue.
		Worker Guild. TATILE 7.5 and General 7.5 resolves the issue.
26626	GPHDFS	For Greenplum Database external tables, the <code>gphdfs</code> protocol supports Avro files that contain a single top-level schema. Avro files that contain multiple top-level schemas are not supported.
25584	Query Execution	In some situations, a running Greenplum Database query cannot be terminated with the functions pg_cancel_backend or pg_terminate_backend.
		The functions could not terminate the query due to a blocking fopen of a FIFO file for write.
26249	GPHDFS	When reading data from an Avro file, the <code>gphdfs</code> protocol does not support the double quote character (") within string data. The <code>gphdfs</code> protocol uses the double quote as the column delimiter.
		Workaround: Before reading data from an Avro file, either remove double quotes that are in string data or replace the character with a different character.
26292	Loaders: gpload	The Greenplum Database gpload utility fails on MacOS X El Capitan. The utility script is included with the Greenplum Database Load Tools installer package for Apple OS X greenplum-loaders-version-OSX-i386.bin.
		Workaround: Run the python script <code>gpload.py</code> directly. For example, python command displays the <code>gpload</code> help information on the command line.
		python gpload.py -h

Issue	Category	Description
26128	Loaders: gpload	When the YAML control file for the Greenplum Database <code>gpload</code> utility specifies the key <code>LOG_ERRORS: true</code> without the key <code>REUSE TABLES: true</code> , the <code>gpload</code> operation returns only summary information about formatting errors. The formatting errors are deleted from Greenplum Database error logs. When <code>REUSE TABLES: true</code> is not specified, the temporary tables that are used by <code>gpload</code> are dropped after the <code>gpload</code> operation, and the formatting errors are also deleted from the Greenplum Database error logs. Workaround: Specify the YAML control file key <code>REUSE TABLES:</code>
		true to retain the temporary tables that are used to load the data. The log information is also retained. You can delete the formatting errors in the Greenplum Database logs with the Greenplum Database function <code>gp_truncate_error_log()</code> .
		For information about the gpload utility, see the Greenplum Database Utility Guide.
25934 25936	Query Optimizer Query Planner	For queries that compare data from columns of different character types, for example a join comparing a columns of data types CHAR (n) and VARCHAR (m), the returned results might not be as expected depending the padding added to the data (space characters added after the last non-space character).
		For example, this comparison returns false.
		select 'A '::char(2) ='A '::text ;
		This comparison returns true.
		select 'A'::char(2) ='A '::varchar(5) ;
		Workaround: Pivotal recommends specifying character column types to be of data type VARCHAR or TEXT so that comparisons include padding added to the data.
		For information about how the character data types CHAR, VARCHAR, and TEXT handle padding added to the data see the CREATE TABLE command in the <i>Greenplum Database Reference Guide</i> .
25737	Catalog and Metadata	Greenplum Database does not support the FILTER clause within aggregate expressions.
25754	Management Scripts: expansion	The Greenplum Database gpexpand utility fails to create an input file for system expansion if the Greenplum Database system define different TCP/IP port numbers on different hosts for Greenplum Database internal communication.
		Workaround: Create the input file manually.
25833	Management Scripts: gpexpand	The Greenplum Database utility gpexpand fails when expanding a Greenplum Database system and in the system a database table column name contains a tab character. The utility does not support database names, table names, or column names that contain a tab character.

Issue	Category	Description
15835	DDL and Utility Statements	 For multi-level partitioned tables that have these characteristics: The top level partition is partitioned by range. The lowest level partition (the leaf child partitions) are partitioned by list. Splitting a subpartition with the ALTER TABLE SPLIT PARTITION command returns an error and rolls back the transaction.
12019	Management Scripts: checkperf	When the Greenplum Database <code>gpcheckperf</code> utility is run with the option <code>-f</code> <code>host_file</code> and the host that is running <code>gpcheckperf</code> is listed in <code>host_file</code> , processes that were started <code>gpcheckperf</code> might not be cleaned up after the utility completes. Workaround: Manually stop the processes that were started by <code>gpcheckperf</code> .
24870	Query Optimizer	GPORCA might terminate all sessions if a query attempts to cast to a timestamp a date with year greater than 200,000.
23571	Query Optimizer	For queries that contain inequality conditions such as != , < and , >, GPORCA does not consider table indexes when generating a query plan. For those queries, indexes are not used and the query might run slower than expected.
21508	Query Optimizer	GPORCA does not support GiST indexes.
20030	Query Optimizer	GPORCA does not support partition elimination when the query contains functions that are applied to the partition key.
20360	Query Execution	GPORCA does not enforce different access rights in different parts of a partition table. Pivotal recommends that you set the same access privileges for the partitioned table and all its parts (child tables).
20241	Query Optimizer	The GPORCA does not consider indices when querying parts/child tables of partitioned tables directly.
25326	Interconnect	Setting the Greenplum Database server configuration parameter log_hostname to on Greenplum Database segment hosts causes an Interconnect Error that states that the listeneraddress name or service not known. The parameter should be set to on only on the Greenplum Database master.
25280	Management Scripts: gpstart/ gpstop	The Greenplum Database utility gpstop, the utility returns an error if it is run and the system environment variable LANG is set, for example, export LANG=ja_JP.UTF-8. Workaround: Unset the environment variable LANG before running
		the gpstop utility. For example:
		\$ unset LANG

Issue	Category	Description
25246	Management Scripts: gpconfig	When you set the server configuration parameters <code>gp_email_to</code> and <code>gp_email_from</code> with the Greenplum Database utility <code>gpconfig</code> , the utility removes the single quotes from the values.
		<pre>\$ gpconfig -c gp_email_to -v 'test@example.com'</pre>
		The improperly set parameter causes Greenplum Database to fail when it is restarted.
		Workaround: Enclose the value for <code>gp_email_to or gp_email_from with double quotes.</code>
		<pre>\$ gpconfig -c gp_email_to -v "'test@example.com'"</pre>
25168	Locking, Signals, Processes	When the server configuration parameter client_min_messages is set to either set to PANIC or FATAL and a PANIC or FATAL level message is encountered, Greenplum Database hangs.
		The client_min_messages parameter should not be set a value higher than ERROR.
24588	Management Scripts: gpconfig	The Greenplum Database <code>gpconfig</code> utility does not display the correct information for the server configuration parameter <code>gp_enable_gpperfmon</code> . The parameter displays the state of the Greenplum Command Center data collection agents (<code>gpperfmon</code>).
		Workaround: The SQL command SHOW displays the correct gp_enable_gpperfmon value.
24031	gphdfs	If a readable external table is created with FORMAT 'CSV' and uses the gphdfs protocol, reading a record fails if the record spans multiple lines and the record is stored in multiple HDFS blocks.
		Workaround: Remove line separators from within the record so that the record does not span multiple lines.
23824	Authentication	In some cases, LDAP client utility tools cannot be used after running the source command:
		source \$GPHOME/greenplum_path.sh
		because the LDAP libraries included with Greenplum Database are not compatible with the LDAP client utility tools that are installed with operating system.
		Workaround: The LDAP tools can be used without running the source command in the environment.

Issue	Category	Description
23366	Resource Management	In Greenplum Database 4.2.7.0 and later, the priority of some running queries, cannot be dynamically adjusted with the <code>gp_adjust_priority()</code> function. The attempt to execute this request might silently fail. The return value of the <code>gp_adjust_priority()</code> call indicates success or failure. If 1 is returned, the request was not successfully executed. If a number greater than 1 is returned, the request was successful. If the request fails, the priority of all running queries are unchanged, they remain as they were before the <code>gp_adjust_priority()</code> call.
23492	Backup and Restore,	A backup from a Greenplum Database 4.3.x system that is created with a Greenplum Database back up utility, for example gpcrondump, cannot be restored to a Greenplum Database 4.2.x system with the $psql$ utility or the corresponding restore utility, for example gpdbrestore.
23521	Client Access Methods and	Hadoop YARN based on Hadoop 2.2 or later does not work with Greenplum Database.
	Tools	Workaround: For Hadoop distributions based on Hadoop 2.2 or later that are supported by Greenplum Database, the classpath environment variable and other directory paths defined in \$GPHOME/lib/hadoop/hadoop_env.sh must be to be modified so that the paths point to the appropriate JAR files.
20453	Query Planner	For SQL queries of either of the following forms:
		SELECT columns FROM table WHERE table.column NOT IN subquery; SELECT columns FROM table WHERE table.column = ALL subquery;
		tuples that satisfy both of the following conditions are not included in the result set:
		 table.column is NULL. subquery returns the empty result.
21838	Backup and Restore	When restoring sets of tables with the Greenplum Database utility gpdbrestore, the table schemas must be defined in the database. If a table's schema is not defined in the database, the table is not restored. When performing a full restore, the database schemas are created when the tables are restored.
		Workaround: Before restoring a set of tables, create the schemas for the tables in the database.
21129	DDL and Utility Statements	SSL is only supported on the master host. It is not supported on segment hosts.
20822	Backup and Restore	Special characters such as !, \$, #, and @ cannot be used in the password for the Data Domain Boost user when specifying the Data Domain Boost credentials with the gpcrondump options ddboost-host andddboost-user.

Issue	Category	Description	
18247	DDL and Utility Statements	TRUNCATE command does not remove rows from a sub-table of a partitioned table. If you specify a sub-table of a partitioned table with the TRUNCATE command, the command does not remove rows from the sub-table and its child tables.	
		Workaround: Use the ALTER TABLE command with the TRUNCATE PARTITION clause to remove rows from the sub-table and its child tables.	
19705	Loaders: gpload	gpload fails on Windows XP with Python 2.6.	
		Workaround: Install Python 2.5 on the system where gpload is installed.	
19493	Backup and Restore	The gpcrondump and gpdbrestore utilities do not handle errors returned by DD Boost or Data Domain correctly.	
19464	restore	These are two examples:	
19426		 If invalid Data Domain credentials are specified when setting the Data Domain Boost credentials with the gpcrondump utility, the error message does not indicate that invalid credentials were specified. Restoring a Greenplum database from a Data Domain system with gpdbrestore and theddboost option indicates success even 	
		though segment failures occured during the restore. Workaround: The errors are logged in the master and segment server backup or restore status and report files. Scan the status and report files to check for error messages.	
15692 17192	Backup and Restore	Greenplum Database's implementation of RSA lock box for Data Domain Boost changes backup and restore requirements for customers running SuSE.	
		The current implementation of the RSA lock box for Data Domain Boost login credential encryption only supports customers running on Red Hat Enterprise Linux.	
		Workaround: If you run Greenplum Database on SuSE, use NFS as your backup solution. See the <i>Greenplum Database Administrator Guide</i> for information on setting up a NFS backup.	
18850	Backup and Restore	Data Domain Boost credentials cannot be set up in some environments due to the absence of certain libraries (for example, libstdc++) expected to reside on the platform.	
		Workaround: Install the missing libraries manually on the system.	

Issue	Category	Description	
18851	Backup and Restore	When restoring table data to an existing table with the Greenplum Database utility gpdbrestore, the utility assumes that the database table definition is the same as the table that was backed up. The utility does not check the table definition.	
		For example, the distribution key for a table is changed after it is backed up. You back up the table, change the table distribution key, truncate the table, and then restore the table data from the backup. Subsequent queries against the table might return unexpected and incorrect results.	
		Workaround: For the previous example, run the ALTER TABLE command with the REORGANIZE=true clause to redistribute the table data among the Greenplum Database segments. See ALTER TABLE in the Greenplum Database Reference Guide.	
18713	Catalog and Metadata	Drop language plpgsql cascade results in a loss of <code>gp_toolkit</code> functionality.	
		Workaround: Reinstall gp_toolkit.	
18710 Management Scripts Suite		Greenplum Management utilities cannot parse IPv6 IP addresses.	
		Workaround: Always specify IPv6 hostnames rather than IP addresses	
18703	Loaders	The bytenum field (byte offset in the load file where the error occurred) in the error log when using gpfdist with data in text format errors is not populated, making it difficult to find the location of an error in the source file.	
12468	Management Scripts Suite	gpexpandrollback fails if an error occurs during expansion such that it leaves the database down	
		gpstart also fails as it detects that expansion is in progress and suggests to run gpexpandrollback which will not work because the database is down.	
		Workaround: Run gpstart -m to start the master and then run rollback.	
18785	Loaders	Running gpload with thessl option and the relative path of the source file results in an error that states the source file is missing.	
		Workaround: Provide the full path in the yaml file or add the loaded data file to the certificate folder.	
18414	Loaders	Unable to define external tables with fixed width format and empty line delimiter when file size is larger than <code>gpfdist</code> chunk (by default, 32K).	

Issue	Category	Description	
17285	Backup and Restore	NFS backup with <code>gpcrondump -c</code> can fail. In circumstances where you haven't backed up to a local disk before, backups to NFS using <code>gpcrondump</code> with the -c option can fail. On fresh systems where a backup has not been previously invoked there are no dump files to cleanup and the -c flag will have no effect. Workaround: Do not run <code>gpcrondump</code> with the -c option the first time a backup is invoked from a system.	
17837	Upgrade/ Downgrade	Major version upgrades internally depend on the <code>gp_toolkit</code> system schema. The alteration or absence of this schema may cause upgrades to error out during preliminary checks. Workaround: To enable the upgrade process to proceed, you need to reinstall the <code>gp_toolkit</code> schema in all affected databases by applying the SQL file found here: <code>\$GPHOME/share/postgresql/gp_toolkit.sql</code> .	
17513	Management Scripts Suite	Running more than one <code>gpfilespace</code> command concurrently with itself to move either temporary files (movetempfilespace) or transaction files (movetransfilespace) to a new filespace can in some circumstances cause OID inconsistencies. Workaround: Do not run more than one <code>gpfilespace</code> command concurrently with itself. If an OID inconsistency is introduced <code>gpfilespace</code> movetempfilespace or <code>gpfilespace</code> movetransfilespace can be used to revert to the default filespace.	
17780	DDL/DML: Partitioning	ALTER TABLE ADD PARTITION inheritance issue When performing an ALTER TABLE ADD PARTITION operation, the resulting parts may not correctly inherit the storage properties of the parent table in cases such as adding a default partition or more complex subpartitioning. This issue can be avoided by explicitly dictating the storage properties during the ADD PARTITION invocation. For leaf partitions that are already afflicted, the issue can be rectified through use of EXCHANGE PARTITION.	
17795	Management Scripts Suite	Under some circumstances, <code>gppkg</code> on SuSE is unable to correctly interpret error messages returned by rpm. On SuSE, <code>gppkg</code> is unable to operate correctly under circumstances that require a non-trivial interpretation of underlying rpm commands. This includes scenarios that result from overlapping packages, partial installs, and partial uninstalls.	
17604	Security	A Red Hat Enterprise Linux (RHEL) 6.x security configuration file limits the number of processes that can run on gpadmin. RHEL 6.x contains a security file (/etc/security/limits.d/90-nproc.conf) that limits available processes running on gpadmin to 1064. Workaround: Remove this file or increase the processes to 131072.	

Issue	Category	Description	
17334	Management Scripts Suite	You may see warning messages that interfere with the operation of management scripts when logging in. Greenplum recommends that you edit the /etc/motd file and add the warning message to it. This will send the messages to are redirected to stdout and not stderr. You must encode these warning messages in UTF-8 format.	
17221	Resource Management	Resource queue deadlocks may be encountered if a cursor is associated with a query invoking a function within another function.	
17113	Management Scripts Suite	Filespaces are inconsistent when the Greenplum database is down. Filespaces become inconsistent in case of a network failure. Greenplum recommends that processes such as moving a filespace be done in an environment with an uninterrupted power supply.	
17189	Loaders: gpfdist	gpfdist shows the error "Address already in use" after successfully binding to socket IPv6.	
		Greenplum supports IPv4 and IPv6. However, <code>gpfdist</code> fails to bind to socket IPv4, and shows the message "Address already in use", but binds successfully to socket IPv6.	
16064	Backup and Restore	Restoring a compressed dump with theddboost option displays incorrect dump parameter information.	
		When using <code>gpdbrestoreddboost</code> to restore a compressed dump, the restore parameters incorrectly show "Restore compressed dump = Off". This error occurs even if <code>gpdbrestore</code> passes the <code>gp-c</code> option to use <code>gunzip</code> for in-line de-compression.	
15899	Backup and Restore	When running gpdbrestore with the list (-L) option, external tables do not appear; this has no functional impact on the restore job.	

Upgrading to Greenplum Database 4.3.31.0

The upgrade path supported for this release is Greenplum Database 4.2.x.x to Greenplum Database 4.3.31.0. The minimum recommended upgrade path for this release is from Greenplum Database version 4.2.x.x. If you have an earlier major version of the database, you must first upgrade to version 4.2.x.x.

Prerequisites

Before starting the upgrade process, Pivotal recommends performing the following checks.

- Verify the health of the Greenplum Database host hardware, and that you verify that the hosts meet the
 requirements for running Greenplum Database. The Greenplum Database gpcheckperf utility can
 assist you in confirming the host requirements.
- If upgrading from Greenplum Database 4.2.x.x, Pivotal recommends running the gpcheckcat utility to check for Greenplum Database catalog inconsistencies.

Note: If you need to run the <code>gpcheckcat</code> utility, Pivotal recommends running it a few weeks before the upgrade and that you run <code>gpcheckcat</code> during a maintenance period. If necessary, you can resolve any issues found by the utility before the scheduled upgrade.

The utility is in \$GPHOME/bin. Pivotal recommends that Greenplum Database be in restricted mode when you run gpcheckcat utility. See the *Greenplum Database Utility Guide* for information about the gpcheckcat utility.

If gpcheckcat reports catalog inconsistencies, you can run gpcheckcat with the -g option to generate SQL scripts to fix the inconsistencies.

After you run the SQL scripts, run <code>gpcheckcat</code> again. You might need to repeat the process of running <code>gpcheckcat</code> and creating SQL scripts to ensure that there are no inconsistencies. Pivotal recommends that the SQL scripts generated by <code>gpcheckcat</code> be run on a quiescent system. The utility might report false alerts if there is activity on the system.

Important: If the gpcheckcat utility reports errors, but does not generate a SQL script to fix the errors, contact Pivotal support. Information for contacting Pivotal Support is at https://support.pivotal.io.

- Ensure that the Linux sed utility is installed on the Greenplum Database hosts. In Greenplum Database releases prior to 4.3.10.0, the Linux ed utility is required on Greenplum Database hosts. The gpinitsystem utility requires the Linux utility.
- During the migration process from Greenplum Database 4.2.x.x, a backup is made of some files and directories in \$MASTER_DATA_DIRECTORY. Pivotal recommends that files and directories that are not used by Greenplum Database be backed up, if necessary, and removed from the \$MASTER_DATA_DIRECTORY before migration. For information about the Greenplum Database migration utilities, see the *Greenplum Database Utility Guide*.

Important: If you intend to use an extension package with Greenplum Database 4.3.31.0, you must install and use a Greenplum Database extension packages (gppkg files and contrib modules) that are built for Greenplum Database 4.3.5.0 or later. For custom modules that were used with Greenplum Database 4.3.4.x and earlier, you must rebuild any modules that were built against the provided C language header files for use with Greenplum Database 4.3.5.0 or later.

If you use the Greenplum Database MADlib extension, Pivotal recommends that you upgrade to the most recent version of MADlib. For MADlib support and upgrade information, refer to the *MADlib FAQ*. For information on installing the MADlib extension in Greenplum Database, see *Greenplum MADlib Extension for Analytics* in the *Greenplum Database Reference Guide*.

If the pgcrypto extension package version pv1.2 or earlier is installed in your system, you must uninstall the pgcrypto extension and install pgcrypto package version pv1.3.

For information about supported versions of Greenplum Database extensions, see *Greenplum Database Extensions*.

For detailed upgrade procedures and information, see the following sections:

- Upgrading from 4.3.x to 4.3.31.0
- Upgrading from 4.3.x to 4.3.31.0 on Pivotal DCA Systems
- Upgrading from 4.2.x.x to 4.3.31.0
- For Users Running Greenplum Database 4.1.x.x
- For Users Running Greenplum Database 4.0.x.x
- For Users Running Greenplum Database 3.3.x.x
- Migrating a Greenplum Database That Contains Append-Only Tables

If you are utilizing Data Domain Boost, you have to re-enter your DD Boost credentials after upgrading from Greenplum Database 4.2.x.x to 4.3.x.x as follows:

```
gpcrondump --ddboost-host ddboost_hostname --ddboost-user ddboost_user
   --ddboost-backupdir backup directory
```

Note: If you do not reenter your login credentials after an upgrade, your backup will never start because the Greenplum Database cannot connect to the Data Domain system. You will receive an error advising you to check your login credentials.

Upgrading from 4.3.x to 4.3.31.0

An upgrade from 4.3.x to 4.3.31.0 involves stopping Greenplum Database, updating the Greenplum Database software binaries, upgrading and restarting Greenplum Database. If you are using Greenplum Database extension packages there are additional requirements. See *Prerequisites* in the previous section.

Important: If you are upgrading from Greenplum Database 4.3.x on a Pivotal DCA system, see *Upgrading from 4.3.x to 4.3.31.0 on Pivotal DCA Systems*. This section is for upgrading to Greenplum Database 4.3.31.0 on non-DCA systems.

Note: If you have databases that were created with Greenplum Database 4.3.29.0 or an earlier 4.3.x release, upgrade the <code>gp_bloat_expected_pages</code> view in the <code>gp_toolkit</code> schema. For information about the issue and how check a database for the issue, see <code>Update</code> for <code>gp_toolkit.gp_bloat_expected_pages</code> <code>Issue</code>.

Note: If you are upgrading from Greenplum Database 4.3.27.0 or an earlier 4.3.x release and have configured PgBouncer in your Greenplum Database installation, you must migrate to the new PgBouncer when you upgrade Greenplum Database. Refer to *Migrating PgBouncer* for specific migration instructions.

Note: If you have databases that were created with Greenplum Database 4.3.19.0 or an earlier 4.3.x release, upgrade the <code>gp_bloat_diagfunction</code> and view in the <code>gp_toolkit</code> schema. For information about the issue and how check a database for the issue, see *Update for gp_toolkit.gp_bloat_diag Issue*.

Note: If you are upgrading from Greenplum Database between 4.3.0 and 4.3.2, run the fix_ao_upgrade.py utility to check Greenplum Database for the upgrade issue and fix the upgrade issue (See step 11). The utility is in this Greenplum Database directory: \$GPHOME/share/postgresql/upgrade

For information about the utility, see fix_ao_upgrade.py Utility.

Note: If your database contains append-optimized tables that were converted from Greenplum Database 4.2.x append-only tables, and you are upgrading from a 4.3.x release earlier than 4.3.6.0, run the fix_visimap_owner.sql script to fix a Greenplum Database append-optimized table issue (See step 12). The utility is in this Greenplum Database directory: \$GPHOME/share/postgresql/upgrade

For information about the script, see fix_visimap_owner.sql Script.

Note: If the Greenplum Command Center database <code>gpperfmon</code> is installed in your Greenplum Database system, the migration process changes the distribution key of the Greenplum Database <code>log_alert_*</code> tables to the <code>logtime</code> column. The redistribution of the table data might take some time the first time you start Greenplum Database after migration. The change occurs only the first time you start Greenplum Database after a migration.

Log in to your Greenplum Database master host as the Greenplum administrative user:

```
$ su - gpadmin
```

2. Uninstall the Greenplum Database qNet extension package if it is installed.

The gNet extension package contains the software for the gphdfs protocol. For Greenplum Database 4.3.1 and later releases, the extension is bundled with Greenplum Database. The files for gphdfs are installed in \$GPHOME/lib/hadoop.

3. Perform a smart shutdown of your current Greenplum Database 4.3.x system (there can be no active connections to the database). This example uses the -a option to disable confirmation prompts:

```
$ gpstop -a
```

4. Run the installer for 4.3.31.0 on the Greenplum Database master host.

When prompted, choose an installation location in the same base directory as your current installation. For example:

```
/usr/local/greenplum-db-4.3.31.0
```

- **5.** If needed, update the <code>greenplum_path.sh</code> file for use with your specific installation. These are some examples.
 - If Greenplum Database uses LDAP authentication, edit the <code>greenplum_path.sh</code> file to add the line:

```
export LDAPCONF=/etc/openldap/ldap.conf
```

• If Greenplum Database uses PL/Java, you might need to set or update the environment variables JAVA_HOME and LD_LIBRARY_PATH in greenplum_path.sh.

Note: When comparing the previous and new <code>greenplum_path.sh</code> files, be aware that installing some Greenplum Database extensions also updates the <code>greenplum_path.sh</code> file. The <code>greenplum_path.sh</code> from the previous release might contain updates that were the result of those extensions. See step 10 for installing Greenplum Database extensions.

6. Edit the environment of the Greenplum Database superuser (gpadmin) and make sure you are sourcing the greenplum_path.sh file for the new installation. For example change the following line in .bashrc or your chosen profile file:

```
source /usr/local/greenplum-db-4.3.0.0/greenplum path.sh
```

to:

```
source /usr/local/greenplum-db-4.3.31.0/greenplum_path.sh
```

Or if you are sourcing a symbolic link (/usr/local/greenplum-db) in your profile files, update the link to point to the newly installed version. For example:

```
$ rm /usr/local/greenplum-db
$ ln -s /usr/local/greenplum-db-4.3.31.0 /usr/local/greenplum-db
```

7. Source the environment file you just edited. For example:

```
$ source ~/.bashrc
```

8. Run the <code>gpseginstall</code> utility to install the 4.3.31.0 binaries on all the segment hosts specified in the hostfile. For example:

```
$ gpseginstall -f hostfile
```

- 9. Rebuild any modules that were built against the provided C language header files for use with Greenplum Database 4.3.5.0 or later (for example, any shared library files for user-defined functions in \$GPHOME/lib). See your operating system documentation and your system administrator for information about rebuilding and compiling modules such as shared libraries.
- **10.**Use the Greenplum Database <code>gppkg</code> utility to install Greenplum Database extensions. If you were previously using any Greenplum Database extensions such as pgcrypto, PL/R, PL/Java, PL/Perl, and PostGIS, download the corresponding packages from *Pivotal Network*, and install using this utility. See the *Greenplum Database 4.3 Utility Guide* for <code>gppkg</code> usage details.

Also copy any additional files that are used by the extensions (such as JAR files, shared object files, and libraries) from the previous version installation directory to the new version installation directory on the master and segment host systems.

- **11.**If you are upgrading from Greenplum Database 4.3.27.0 or an earlier 4.3.x release and have configured PgBouncer in your Greenplum Database installation, you must migrate to the new PgBouncer when you upgrade Greenplum Database. Refer to *Migrating PgBouncer* for specific migration instructions.
- **12.**After all segment hosts have been upgraded, you can log in as the <code>gpadmin</code> user and restart your Greenplum Database system:

```
# su - gpadmin
$ gpstart
```

13.If you are upgrading a version of Greenplum Database between 4.3.0 and 4.3.2, check your Greenplum Database for inconsistencies due to an incorrect conversion of 4.2.x append-only tables to 4.3.x append-optimized tables.

Important: The Greenplum Database system must be started but should not be running any SQL commands while the utility is running.

a. Run the fix_ao_upgrade.py utility with the option --report. The following is an example.

```
$ $GPHOME/share/postgresql/upgrade/fix_ao_upgrade.py --host=mdw --
port=5432 --report
```

b. If the utility displays a list of inconsistencies, fix them by running the fix_ao_upgrade.py utility without the --report option.

```
$ $GPHOME/share/postgresql/upgrade/fix_ao_upgrade.py --host=mdw --
port=5432
```

- **c.** (optional) Run the fix_ao_upgrade.py utility with the option --report again. No inconsistencies should be reported.
- **14.**For databases that contain append-optimized tables that were created from Greenplum Database 4.2.x append-only tables, run the fix_visimap_owner.sql script. The script resolves an issue associated with relations associated with append-optimized tables. For example, this command runs the script on the database testalb.

```
$ psql -d testdb1 -f $GPHOME/share/postgresql/upgrade/
fix_visimap_owner.sql
```

The script displays this prompt that allows you to display changes to the affected relations without performing the operation.

```
Dry run, without making any modifications (y/n)?
```

- Enter y to list ownership changes that would have been made. The owner of the relation is not changed.
- Enter n make the ownership changes and display the changes to relation ownership.

Note: Pivotal recommends that you run the script during low activity period. Heavy workloads do not affect database functionality but might affect performance.

15.If you are utilizing Data Domain Boost, you have to re-enter your DD Boost credentials after upgrading from Greenplum Database 4.3.x to 4.3.31.0 as follows:

```
gpcrondump --ddboost-host ddboost_hostname --ddboost-user ddboost_user
   --ddboost-backupdir backup_directory
```

Note: If you do not reenter your login credentials after an upgrade, your backup will never start because the Greenplum Database cannot connect to the Data Domain system. You will receive an error advising you to check your login credentials.

After upgrading Greenplum Database, ensure features work as expected. For example, you should test that backup and restore perform as expected, and Greenplum Database features such as user-defined functions, and extensions such as MADlib and PostGIS perform as expected.

fix_visimap_owner.sql Script

The SQL script $fix_visimap_owner.sql$ resolves ownership issues related to visimap relations that are associated with append-optimized tables.

When upgrading from Greenplum Database 4.2.x to 4.3.x, the 4.2.x append-only tables are converted to 4.3 append-optimized tables. When upgrading from 4.2.x to Greenplum Database 4.3.x earlier than 4.3.6.0, the upgrade process incorrectly assigned the owner of visimap relations to gpadmin, not the owner of the associated append-optimized table.

If you are migrating to this release Greenplum Database from a 4.3.x release earlier than 4.3.6.0, run this SQL script as the <code>qpadmin</code> superuser to fix the incorrect assignment issue for a database.

```
$GPHOME/share/postgresql/upgrade/fix_visimap_owner.sql
```

When you run the script, it temporarily creates two functions that update the visimap relations ownership and displays this message that lets you perform a test run without changing ownership.

```
Dry run, without making any modifications (y/n)?
```

If you enter y, the script displays the changes that would have been made. The owner of the relation is not changed.

If you enter n, the script changes the owner of the relations and displays the changes that are made.

Before exiting, the script deletes the functions it created.

Note: If you are migrating from Greenplum Database 4.2.x directly to Greenplum Database 4.3.31.0 you do not need to run the $fix_visimap_owner.sql$ script. Also, you can run this script on Greenplum Database 4.3.x earlier than 4.3.6.0 to fix the incorrect ownership assignment of visimap relations.

fix_ao_upgrade.py Utility

The fix_ao_upgrade.py utility checks Greenplum Database for an upgrade issue that is caused when upgrading Greenplum Database 4.2.x to a version of Greenplum Database between 4.3.0 and 4.3.2.

The upgrade process incorrectly converted append-only tables that were in the 4.2.x database to append-optimized tables during an upgrade from Greenplum Database 4.2.x to a Greenplum Database 4.3.x release prior to 4.3.2.1. The incorrect conversion causes append-optimized table inconsistencies in the upgraded Greenplum Database system.

Syntax

```
fix_ao_upgrade.py {-h master_host | --host=master_host}
    {-p master_port | --port=master_port}
    [-u user | --user=user ]
    [--report] [-v | --verbose] [--help]
```

Options

-r | --report

Report inconsistencies without making any changes.

-h master_host | --host=master_host

Greenplum Database master hostname or IP address.

-p master_port | --port=master_port

Greenplum Database master port.

-u user | --user=user

User name to connect to Greenplum Database. The user must be a Greenplum Database superuser. Default is <code>gpadmin</code>.

v | --verbose

Verbose output that includes table names.

--help

Show the help message and exit.

If you specify the optional <code>--report</code> option, the utility displays a report of inconsistencies in the Greenplum Database system. No changes to Greenplum Database system are made. If you specify the <code>--verbose</code> option with <code>--report</code>, the table names that are affected by the inconsistencies are included in the output.

Dropping Orphan Tables on Greenplum Database Segments

If you upgraded to Greenplum Database 4.3.6.0 and a user dropped a table, in some cases, the table would be dropped only on the Greenplum Database master, not on the Greenplum Database segments. This created orphan tables on Greenplum Database segments. This issue occurs only with Greenplum Database 4.3.6.0. However, the orphan tables remain in Greenplum Database after upgrading to 4.3.31.0.

For Greenplum Database 4.3.6.2 and later, the installation contains this Python script to check for and drop orphan tables on segments.

```
$GPHOME/share/postgresql/upgrade/fix_orphan_segment_tables.py
```

You can run this script on Greenplum Database 4.3.31.0 to check for and drop orphan tables.

The script performs these operations:

- Checks for orphan tables on segments and generates file that contains a list of the orphan tables.
- Deletes orphan tables specified in a text file.

You run the script as a Greenplum Database administrator. The script attempts to log into Greenplum Database as user who runs the script.

To check all databases in the Greenplum Database instance, run this command on the Greenplum Database master. Specify the *port* to connect to Greenplum Database.

```
$GPHOME/share/postgresql/upgrade/fix orphan segment tables.py -p port
```

To check a single database, specify the option -d database.

The command generates a list of orphan tables in the text file orphan_tables_file_timestamp. You can review the list and, if needed, modify it.

To delete orphan tables on the Greenplum Database segments, run this command on the Greenplum Database master. Specify the *port* to connect to Greenplum Database and the file containing the orphan tables to delete.

```
$GPHOME/share/postgresql/upgrade/fix_orphan_segment_tables.py -p port -f orphan_tables_file_timestamp
```

The script connects only to the databases required to drop orphan tables.

Note: Pivotal recommends that you run the script during a period of low activity to prevent any issues that might occur due to concurrent drop operations.

Upgrading from 4.3.x to 4.3.31.0 on Pivotal DCA Systems

Upgrading Greenplum Database from 4.3.x to 4.3.31.0 on a Pivotal DCA system involves stopping Greenplum Database, updating the Greenplum Database software binaries, and restarting Greenplum Database. If you are using Greenplum Extension packages, you must install and use Greenplum Database 4.3.5.0 or later extension packages. If you are using custom modules with the extensions, you must also use modules that were built for use with Greenplum Database 4.3.5.0 or later.

Important: Skip this section if you are *not* installing Greenplum Database 4.3.31.0 on DCA systems. This section is only for installing Greenplum Database 4.3.31.0 on DCA systems.

Note: If you have databases that were created with Greenplum Database 4.3.29.0 or an earlier 4.3.x release, upgrade the <code>gp_bloat_expected_pages</code> view in the <code>gp_toolkit</code> schema. For information about the issue and how check a database for the issue, see <code>Update</code> for <code>gp_toolkit.gp_bloat_expected_pages</code> <code>Issue</code>.

Note: If you are upgrading from Greenplum Database 4.3.27.0 or an earlier 4.3.x release and have configured PgBouncer in your Greenplum Database installation, you must migrate to the new PgBouncer when you upgrade Greenplum Database. Refer to *Migrating PgBouncer* for specific migration instructions.

Note: If you have databases that were created with Greenplum Database 4.3.19.0 or an earlier 4.3.x release, upgrade the <code>gp_bloat_diagfunction</code> and view in the <code>gp_toolkit</code> schema. For information about the issue and how check a database for the issue, see *Update for gp_toolkit.gp_bloat_diag Issue*.

Note: If you are upgrading from Greenplum Database between 4.3.0 and 4.3.2, run the $fix_ao_upgrade.py$ utility to check Greenplum Database for the upgrade issue and fix the upgrade issue (See step 8). The utility is in this Greenplum Database directory: GPHOME/share/postgresq1/upgrade

For information about the utility, see fix ao upgrade.py Utility.

1. Log in to your Greenplum Database master host as the Greenplum administrative user (gpadmin):

```
# su - gpadmin
```

- 2. Download or copy the installer file to the Greenplum Database master host.
- Uninstall the Greenplum Database gNet extension package if it is installed. For information about uninstalling a Greenplum Database extension package, see gppkg in the Greenplum Database Utility Guide.

The gNet extension package contains the software for the gphdfs protocol. For Greenplum Database 4.3.1 and later releases, the extension is bundled with Greenplum Database. The files for gphdfs are installed in \$GPHOME/lib/hadoop.

4. Perform a smart shutdown of your current Greenplum Database 4.3.x system (there can be no active connections to the database). This example uses the -a option to disable confirmation prompts:

```
$ gpstop -a
```

5. As root, run the Pivotal DCA installer for 4.3.31.0 on the Greenplum Database master host and specify the file hostfile that lists all hosts in the cluster. If necessary, copy hostfile to the directory containing the installer before running the installer.

This example command runs the installer for Greenplum Database 4.3.31.0 for Redhat Enterprise Linux 5.x.

```
# ./greenplum-db-appliance-4.3.31.0-build-1-RHEL5-x86 64.bin hostfile
```

The file hostfile is a text file that lists all hosts in the cluster, one host name per line.

- **6.** If needed, update the <code>greenplum_path.sh</code> file on the system hosts for use with your specific installation. These are some examples.
 - If Greenplum Database uses LDAP authentication, edit the greenplum_path.sh file to add the line:

```
export LDAPCONF=/etc/openldap/ldap.conf
```

• If Greenplum Database uses PL/Java, you might need to set or update the environment variables JAVA_HOME and LD_LIBRARY_PATH in greenplum_path.sh.

Note: When comparing the previous and new <code>greenplum_path.sh</code> files, be aware that installing some Greenplum Database extensions also updates the <code>greenplum_path.sh</code> file. The <code>greenplum_path.sh</code> from the previous release might contain updates that were the result of those extensions. See step 7 for installing Greenplum Database extensions.

7. Install Greenplum Database extension packages. For information about installing a Greenplum Database extension package, see <code>gppkg</code> in the *Greenplum Database Utility Guide*.

Important: Rebuild any modules that were built against the provided C language header files for use with Greenplum Database 4.3.5.0 or later (for example, any shared library files for user-defined functions in \$GPHOME/lib). See your operating system documentation and your system administrator for information about rebuilding and compiling modules such as shared libraries.

Also copy any additional files that are used by the extensions (such as JAR files, shared object files, and libraries) from the previous version installation directory to the new version installation directory on the master and segment host systems.

- **8.** If you are upgrading from Greenplum Database 4.3.27.0 or an earlier 4.3.x release and have configured PgBouncer in your Greenplum Database installation, you must migrate to the new PgBouncer when you upgrade Greenplum Database. Refer to *Migrating PgBouncer* for specific migration instructions.
- **9.** After all segment hosts have been upgraded, you can log in as the <code>gpadmin</code> user and restart your Greenplum Database system:

```
# su - gpadmin
$ gpstart
```

10.If you are upgrading a version of Greenplum Database between 4.3.0 and 4.3.2, check your Greenplum Database for inconsistencies due to an incorrect conversion of 4.2.x append-only tables to 4.3.x append-optimized tables.

Important: The Greenplum Database system must be started but should not be running any SQL commands while the utility is running.

a. Run the fix ao upgrade.py utility with the option --report. The following is an example.

```
$ $GPHOME/share/postgresql/upgrade/fix_ao_upgrade.py --host=mdw --
port=5432 --report
```

b. If the utility displays a list of inconsistencies, fix them by running the fix_ao_upgrade.py utility without the --report option.

```
$ $GPHOME/share/postgresql/upgrade/fix_ao_upgrade.py --host=mdw --
port=5432
```

- **c.** (optional) Run the fix_ao_upgrade.py utility with the option --report again. No inconsistencies should be reported.
- **11.**If you are utilizing Data Domain Boost, you have to re-enter your DD Boost credentials after upgrading from Greenplum Database 4.3.x to 4.3.31.0 as follows:

```
gpcrondump --ddboost-host ddboost_hostname --ddboost-user ddboost_user
   --ddboost-backupdir backup_directory
```

Note: If you do not reenter your login credentials after an upgrade, your backup will never start because the Greenplum Database cannot connect to the Data Domain system. You will receive an error advising you to check your login credentials.

After upgrading Greenplum Database, ensure features work as expected. For example, you should test that backup and restore perform as expected, and Greenplum Database features such as user-defined functions, and extensions such as MADlib and PostGIS perform as expected.

Upgrading from 4.2.x.x to 4.3.31.0

This section describes how you can upgrade from Greenplum Database 4.2.x.x or later to Greenplum Database 4.3.31.0. For users running versions prior to 4.2.x.x of Greenplum Database, see the following:

- For Users Running Greenplum Database 4.1.x.x
- For Users Running Greenplum Database 4.0.x.x
- For Users Running Greenplum Database 3.3.x.x

Planning Your Upgrade

Before you begin your upgrade, make sure the master and all segments (data directories and filespace) have at least 2GB of free space.

Prior to upgrading your database, Pivotal recommends that you run a pre-upgrade check to verify your database is healthy.

You can perform a pre-upgrade check by executing the gpmigrator (_mirror) utility with the --check-only option.

For example:

```
source $new_gphome/greenplum_path.sh;
gpmigrator_mirror --check-only $old_gphome $new_gphome
```

Note: Performing a pre-upgrade check of your database with the <code>gpmigrator(_mirror)</code> utility should done during a database maintenance period. When the utility checks the database catalog, users cannot access the database.

Important: If you intend to use an extension packages with Greenplum Database 4.3.5.0 or later, you must install and use a Greenplum Database extension packages (gppkg files and contrib modules) that are built for Greenplum Database 4.3.5.0 or later. For custom modules that were used with Greenplum Database 4.3.4.x and earlier, you must rebuild any modules that were built against the provided C language header files for use with Greenplum Database 4.3.5.0 or later.

Migrating a Greenplum Database That Contains Append-Only Tables

The migration process converts append-only tables that are in a Greenplum Database to append-optimized tables. For a database that contains a large number of append-only tables, the conversion to append-optimized tables might take a considerable amount of time. Pivotal supplies a user-defined function that can help estimate the time required to migrate from Greenplum Database 4.2.x to 4.3.x. For information about the user-defined function, estimate_42_to_43_migrate_time.pdf.

Append-optimized tables are introduced in Greenplum Database 4.3.0. For information about append-optimized tables, see the release notes for Greenplum Database 4.3.0.

Upgrade Procedure

This section divides the upgrade into the following phases: pre-upgrade preparation, software installation, upgrade execution, and post-upgrade tasks.

We have also provided you with an Upgrade Checklist that summarizes this procedure.

Important: Carefully evaluate each section and perform all required and conditional steps. Failing to perform any of these steps can result in an aborted upgrade, placing your system in an unusable or even unrecoverable state.

Pre-Upgrade Preparation (on your 4.2.x system)

Perform these steps on your current 4.2.x Greenplum Database system. This procedure is performed from your Greenplum master host and should be executed by the Greenplum superuser (gpadmin).

1. Log in to the Greenplum Database master as the gpadmin user:

```
# su - gpadmin
```

2. (optional) Vacuum all databases prior to upgrade. For example:

```
$ vacuumdb database name
```

3. (*optional*) Clean out old server log files from your master and segment data directories. For example, to remove log files from 2011 from your segment hosts:

```
$ gpssh -f seg_host_file -e 'rm /gpdata/*/gp*/pg_log/gpdb-2011-*.csv'
```

Running VACUUM and cleaning out old logs files is not required, but it will reduce the size of Greenplum Database files to be backed up and migrated.

4. Run gpstate to check for failed segments.

```
$ qpstate
```

5. If you have failed segments, you must recover them using gprecoverseg before you can upgrade.

```
$ aprecoverseq
```

Note: It might be necessary to restart the database if the preferred role does not match the current role; for example, if a primary segment is acting as a mirror segment or a mirror segment is acting as a primary segment.

6. Copy or preserve any additional folders or files (such as backup folders) that you have added in the Greenplum data directories or \$GPHOME directory. Only files or folders strictly related to Greenplum Database operations are preserved by the migration utility.

Install the Greenplum Database 4.3 Software Binaries (non-DCA)

Important: If you are installing Greenplum Database 4.3 on a Pivotal DCA system, see *Install the Greenplum Database 4.3 Software Binaries on DCA Systems*. This section is for installing Greenplum Database 4.3 on non-DCA systems.

- 1. Download or copy the installer file to the Greenplum Database master host.
- 2. Unzip the installer file. For example:

```
# unzip greenplum-db-4.3.31.0-PLATFORM.zip
```

3. Launch the installer using bash. For example:

```
# /bin/bash greenplum-db-4.3.31.0-PLATFORM.bin
```

- **4.** The installer will prompt you to accept the Greenplum Database license agreement. Type yes to accept the license agreement.
- 5. The installer will prompt you to provide an installation path. Press ENTER to accept the default install path (for example: /usr/local/greenplum-db-4.3.31.0), or enter an absolute path to an install location. You must have write permissions to the location you specify.

- **6.** The installer installs the Greenplum Database software and creates a <code>greenplum-db</code> symbolic link one directory level above your version-specific Greenplum installation directory. The symbolic link is used to facilitate patch maintenance and upgrades between versions. The installed location is referred to as <code>\$GPHOME</code>.
- 7. Source the path file from your new 4.3.31.0 installation. This example changes to the <code>gpadmin</code> user before sourcing the file:

```
# su - gpadmin
$ source /usr/local/greenplum-db-4.3.31.0/greenplum_path.sh
```

8. Run the <code>gpseginstall</code> utility to install the 4.3.31.0 binaries on all the segment hosts specified in the hostfile. For example:

```
$ gpseginstall -f hostfile
```

Install the Greenplum Database 4.3 Software Binaries on DCA Systems

Important: Skip this section if you are *not* installing Greenplum Database 4.3 on DCA systems. This section is only for installing Greenplum Database 4.3 on DCA systems.

- 1. Download or copy the installer file to the Greenplum Database master host.
- 2. As root, run the Pivotal DCA installer for 4.3.31.0 on the Greenplum Database master host and specify the file hostfile that lists all hosts in the cluster. If necessary, copy hostfile to the directory containing the installer before running the installer.

This example command runs the installer for Greenplum Database 4.3.31.0.

```
# ./greenplum-db-appliance-4.3.31.0-build-1-RHEL5-x86_64.bin hostfile
```

The file hostfile is a text file that lists all hosts in the cluster, one host name per line.

Upgrade Execution

During upgrade, all client connections to the master will be locked out. Inform all database users of the upgrade and lockout time frame. From this point onward, users should not be allowed on the system until the upgrade is complete.

1. As gpadmin, source the path file from your old 4.2.x.x installation. For example:

```
$ source /usr/local/greenplum-db-4.2.8.1/greenplum_path.sh
```

On a DCA system, the path to the might be similar to /usr/local/GP-4.2.8.1/greenplum path.sh depending on the installed version.

- 2. (optional but strongly recommended) Back up all databases in your Greenplum Database system using <code>gpcrondump</code>. See the Greenplum Database Administrator Guide for more information on how to do backups using <code>gpcrondump</code>. Make sure to secure your backup files in a location outside of your Greenplum data directories.
- **3.** If your system has a standby master host configured, remove the standby master from your system configuration. For example:

```
$ gpinitstandby -r
```

4. Perform a clean shutdown of your current Greenplum Database 4.2.x.x system. This example uses the –a option to disable confirmation prompts:

```
$ gpstop -a
```

5. Source the path file from your new 4.3.31.0 installation. For example:

```
$ source /usr/local/greenplum-db-4.3.31.0/greenplum_path.sh
```

On a DCA system, the path to the file would be similar to /usr/local/GP-4.3.31.0/greenplum path.sh.

- **6.** Update the Greenplum Database environment so it is referencing your new 4.3.31.0 installation.
 - **a.** For example, update the greenplum-db symbolic link on the master and standby master to point to the new 4.3.31.0 installation directory. For example (as root):

```
# rm -rf /usr/local/greenplum-db
# ln -s /usr/local/greenplum-db-4.3.31.0 /usr/local/greenplum-db
# chown -R gpadmin /usr/local/greenplum-db
```

On a DCA system, the ln command would specify the install directory created by the DCA installer. For example:

```
# ln -s /usr/local/GP-4.3.31.0 /usr/local/greenplum-db
```

b. Using gpssh, also update the greenplum-db symbolic link on all of your segment hosts. For example (as root):

```
# gpssh -f segment_hosts_file
=> rm -rf /usr/local/greenplum-db
=> ln -s /usr/local/greenplum-db-4.3.31.0 /usr/local/greenplum-db
=> chown -R gpadmin /usr/local/greenplum-db
=> exit
```

On a DCA system, the ln command would specify the install directory created by the DCA installer. For example:

```
=> ln -s /usr/local/GP-4.3.31.0 /usr/local/greenplum-db
```

7. (optional but recommended) Prior to running the migration, perform a pre-upgrade check to verify that your database is healthy by executing the 4.3.4 version of the migration utility with the --check-only option. The command is run as <code>gpadmin</code>. This example runs the <code>gpmigrator_mirror</code> utility as <code>gpadmin</code>:

```
$ gpmigrator_mirror --check-only
/usr/local/greenplum-db-4.2.6.3
/usr/local/greenplum-db-4.3.31.0
```

On a DCA system, the old GPHOME location might be similar to /usr/local/GP-4.2.8.1 (depending on the old installed version) and the new GPHOME location would be similar to /usr/local/GP-4.3.31.0.

8. As <code>gpadmin</code>, run the 4.3.31.0 version of the migration utility specifying your old and new <code>GPHOME</code> locations. If your system has mirrors, use <code>gpmigrator_mirror</code>. If your system does not have mirrors, use <code>gpmigrator</code>. For example on a system with mirrors:

```
$ gpmigrator_mirror /usr/local/greenplum-db-4.2.6.3
   /usr/local/greenplum-db-4.3.31.0
```

On a DCA system, the old GPHOME location might be similar to /usr/local/GP-4.2.8.1 (depending on the old installed version) and the new GPHOME location would be similar to /usr/local/GP-4.3.31.0.

Note: If the migration does not complete successfully, contact Customer Support (see *Troubleshooting a Failed Upgrade*).

9. The migration can take a while to complete. After the migration utility has completed successfully, the Greenplum Database 4.3.31.0 system will be running and accepting connections.

Note: After the migration utility has completed, the resynchronization of the mirror segments with the primary segments continues. Even though the system is running, the mirrors are not active until the resynchronization is complete.

Post-Upgrade (on your 4.3.31.0 system)

1. If your system had a standby master host configured, reinitialize your standby master using gpinitstandby:

```
$ gpinitstandby -s standby hostname
```

- 2. If your system uses external tables with <code>gpfdist</code>, stop all <code>gpfdist</code> processes on your ETL servers and reinstall <code>gpfdist</code> using the compatible Greenplum Database 4.3.x Load Tools package. Application Packages are available from the Pivotal Greenplum page on <code>Pivotal Network</code>. For information about <code>gpfdist</code>, see the <code>Greenplum Database 4.3 Administrator Guide</code>.
- 3. If needed, update the <code>greenplum_path.sh</code> file on the system hosts for use with your specific installation. For example, if Greenplum Database uses PL/Java, you might need to set or update the environment variables <code>JAVA HOME</code> and <code>LD LIBRARY PATH</code> in <code>greenplum path.sh</code>.

Note: When comparing the previous and new <code>greenplum_path.sh</code> files, be aware that installing some Greenplum Database extensions also updates the <code>greenplum_path.sh</code> file. The <code>greenplum_path.sh</code> from the previous release might contain updates that were the result of those extensions.

- 4. Rebuild any modules that were built against the provided C language header files for use with Greenplum Database 4.3.5.0 or later. (for example, any shared library files for user-defined functions in \$GPHOME/lib). See your operating system documentation and your system administrator for information about rebuilding and compiling modules such as shared libraries.
- 5. Use the Greenplum Database <code>gppkg</code> utility to install Greenplum Database extensions. If you were previously using any Greenplum Database extensions such as pgcrypto, PL/R, PL/Java, PL/Perl, and PostGIS, download the corresponding packages from <code>Pivotal Network</code>, and install using this utility. See the <code>Greenplum Database Utility Guide</code> for <code>gppkg</code> usage details.
 - Also copy any additional files that are used by the extensions (such as JAR files, shared object files, and libraries) from the previous version installation directory to the new version installation directory on the master and segment host systems.
- 6. If you want to utilize the Greenplum Command Center management tool, install the latest Command Center Console and update your environment variable to point to the latest Command Center binaries (source the gpperfmon_path.sh file from your new installation). See the Greenplum Command Center documentation for information about installing and configuring Greenplum Command Center.

Note: The Greenplum Command Center management tool replaces Greenplum Performance Monitor.

Command Center Console packages are available from the Pivotal Greenplum page on *Pivotal Network*.

7. (optional) Check the status of Greenplum Database. For example, you can run the Greenplum Database utility <code>gpstate</code> to display status information of a running Greenplum Database.

```
$ qpstate
```

- **8.** Ensure features work as expected. For example, you should test that backup and restore perform as expected, and Greenplum Database features such as user-defined functions, and extensions such as MADlib and PostGIS perform as expected.
- **9.** Inform all database users of the completed upgrade. Tell users to update their environment to source the Greenplum Database 4.3.31.0 installation (if necessary).

Upgrade Checklist

This checklist provides a quick overview of all the steps required for an upgrade from 4.2.x.x to 4.3.31.0. Detailed upgrade instructions are provided in *Upgrading from 4.2.x.x to 4.3.31.0*.

Pre-Upgrade Preparation (on your current system)		
* 4.2.x.x system is up and available		
	Log in to your master host as the <code>gpadmin</code> user (your Greenplum superuser).	
	(Optional) Run VACUUM on all databases.	
	(Optional) Remove old server log files from pg_log in your master and segment data directories.	
	Check for and recover any failed segments (gpstate, gprecoverseg).	
	Copy or preserve any additional folders or files (such as backup folders).	
	Install the Greenplum Database 4.3 binaries on all Greenplum hosts.	
	Inform all database users of the upgrade and lockout time frame.	
Upgrad	e Execution	
* The sy	stem will be locked down to all user activity during the upgrade process	
	Backup your current databases.	
	Remove the standby master (gpinitstandby -r).	
	Do a clean shutdown of your current system (gpstop).	
	Update your environment to source the new Greenplum Database 4.3.x installation.	
	Run the upgrade utility (gpmigrator_mirror if you have mirrors, gpmigrator if you do not).	
	After the upgrade process finishes successfully, your 4.3.x system will be up and running.	

	Post-Upgrade (on your 4.3 system)		
* The 4	.3.x.x system is up		
	Reinitialize your standby master host (gpinitstandby).		
	Upgrade gpfdist on all of your ETL hosts.		
	Rebuild any custom modules against your 4.3.x installation.		
	Download and install any Greenplum Database extensions.		
	(Optional) Install the latest Greenplum Command Center and update your environment to point to the latest Command Center binaries.		
	Inform all database users of the completed upgrade.		

For Users Running Greenplum Database 4.1.x.x

Users on a release prior to 4.1.x.x cannot upgrade directly to 4.3.31.0.

- **1.** Upgrade from your current release to 4.2.x.x (follow the upgrade instructions in the latest Greenplum Database 4.2.x.x release notes available at *Pivotal Documentation*).
- 2. Follow the upgrade instructions in these release notes for Upgrading from 4.2.x.x to 4.3.31.0.

For Users Running Greenplum Database 4.0.x.x

Users on a release prior to 4.1.x.x cannot upgrade directly to 4.3.31.0.

- 1. Upgrade from your current release to 4.1.x.x (follow the upgrade instructions in the latest Greenplum Database 4.1.x.x release notes available on *Dell EMC Support Zone*).
- **2.** Upgrade from the current release to 4.2.x.x (follow the upgrade instructions in the latest Greenplum Database 4.2.x.x release notes available at *Pivotal Documentation*).
- **3.** Follow the upgrade instructions in these release notes for *Upgrading from 4.2.x.x to 4.3.31.0.*

For Users Running Greenplum Database 3.3.x.x

Users on a release prior to 4.0.x.x cannot upgrade directly to 4.3.31.0.

- 1. Upgrade from your current release to the latest 4.0.x.x release (follow the upgrade instructions in the latest Greenplum Database 4.0.x.x release notes available on *Dell EMC Support Zone*).
- **2.** Upgrade the 4.0.x.x release to the latest 4.1.x.x release (follow the upgrade instructions in the latest Greenplum Database 4.1.x.x release notes available on *Dell EMC Support Zone*).
- **3.** Upgrade from the 4.1.1 release to the latest 4.2.x.x release (follow the upgrade instructions in the latest Greenplum Database 4.2.x.x release notes available at *Pivotal Documentation*).
- **4.** Follow the upgrade instructions in these release notes for *Upgrading from 4.2.x.x to 4.3.31.0.*

Troubleshooting a Failed Upgrade

If you experience issues during the migration process and have active entitlements for Greenplum Database that were purchased through Pivotal, contact Pivotal Support. Information for contacting Pivotal Support is at https://support.pivotal.io.

Be prepared to provide the following information:

- A completed Upgrade Procedure.
- Log output from gpmigrator mirror and gpcheckcat (located in ~/gpAdminLogs)

Update for gp_toolkit.gp_bloat_expected_pages Issue

In Greenplum Database 4.3.29.0 and earlier 4.3.x releases, the Greenplum Database view $gp_toolkit.gp_bloat_expected_pages$ view might incorrectly report that a root partition table is bloated even though root partition tables do not contain data. This information could cause a user to run a VACUUM FULL operation on the partitioned table when the operation was not required. The issue was resolved in Greenplum Database 4.3.30.0 (resolved issue 29523) .

When updating Greenplum Database, the <code>gp_toolkit.gp_bloat_expected_pages</code> function must be updated in databases created with a Greenplum Database 4.3.29.0 or earlier 4.3.x release. This issue has been fixed in databases created with Greenplum Database 4.3.30.0 and later.

To check whether the <code>gp_toolkit.gp_bloat_expected_pages</code> view in a database requires an update, run the <code>psql</code> command <code>\d+</code> to display the view definition.

```
\d+ gp_toolkit.gp_bloat_expected_pages
```

The updated view definition contains this predicate.

```
AND NOT EXISTS
( SELECT parrelid
   FROM pg_partition
   WHERE parrelid = pgc.oid )
```

Perform the following steps as the gpadmin user to update the view on each database that was created with Greenplum Database 5.11.0 or an earlier 5.x release.

- 1. Copy the script into a text file on the Greenplum Database master.
- 2. Run the script on each database that requires the update.

This example updates <code>gp_toolkit.gp_bloat_expected_pages</code> view in the database <code>mytest</code> and assumes that the script is in the <code>gp_bloat_expected_pages</code> in the <code>gpadmin</code> home directory.

```
psql -f /home/gpadmin/gp_bloat_expected_pages.sql -d mytest
```

Run the script during a low activity period. Running the script during a high activity period does not affect database functionality but might affect performance.

Script to Update gp toolkit.gp bloat expected pages View

```
BEGIN;
CREATE OR REPLACE VIEW gp_toolkit.gp_bloat_expected_pages
AS

SELECT
btdrelid,
btdrelpages,
CASE WHEN btdexppages < numsegments
THEN numsegments
ELSE btdexppages
```

```
END as btdexppages
   FROM
    ( SELECT
        oid as btdrelid,
        pgc.relpages as btdrelpages,
        CEIL((pgc.reltuples * (25 + width))::numeric /
 current setting('block size')::numeric) AS btdexppages,
        (SELECT numsegments FROM gp toolkit. gp number of segments) AS
 numsegments
        FROM
        ( SELECT pgc.oid, pgc.reltuples, pgc.relpages
            FROM pg class pgc
            WHERE NOT EXISTS
            ( SELECT iaooid
                FROM gp toolkit. gp is append only
                WHERE iaooid = pgc.oid AND iaotype = 't')
            AND NOT EXISTS
            ( SELECT parrelid
                FROM pg partition
                WHERE parrelid = pgc.oid )) AS pgc
        LEFT OUTER JOIN
          ( SELECT starelid, SUM(stawidth * (1.0 - stanullfrac)) AS width
              FROM pg_statistic pgs
              GROUP B\overline{Y} 1) AS btwcols
        ON pgc.oid = btwcols.starelid
        WHERE starelid IS NOT NULL) AS subq;
GRANT SELECT ON TABLE gp toolkit.gp bloat expected pages TO public;
COMMIT;
```

Update for gp_toolkit.gp_bloat_diag Issue

In Greenplum Database 4.3.19.0 or an earlier 4.3.x release, Greenplum Database returned an integer out of range error in some cases when performing a query against the $gp_toolkit.gp_bloat_diag$ view. The issue was resolved in Greenplum Database 4.3.20.0 (resolved issue 26518) .

When updating Greenplum Database, the <code>gp_toolkit.gp_bloat_diag</code> function and view must be updated in databases created with a Greenplum Database 4.3.19.0 or an earlier 4.3.x release. This issue has been fixed in databases created with Greenplum Database 4.3.20.0 and later.

To check whether the <code>gp_toolkit.gp_bloat_diag</code> function and view in a database requires an update, run the <code>psql</code> command <code>\df</code> to display information about the <code>gp_toolkit.gp_bloat_diag</code> function.

```
\df gp_toolkit.gp_bloat_diag
```

If the data type for btdexppages is integer, an update is required. If the data type is numeric an update is not required. In this example, the btdexppages data type is integer and requires an update.

Run the following script to update the function and view to fix the issue on each database that was created with Greenplum Database 4.3.19.0 or an earlier 4.3.x release.

As the gpadmin user, follow these steps.

- 1. Copy the script into a text file on the Greenplum Database master.
- 2. Run the script on each database that requires the update.

This example updates <code>gp_toolkit.gp_bloat_diag</code> function and view in the database <code>mytest</code> and assumes that the script is in the <code>update_bloat_diag.sql</code> in the <code>gpadmin</code> home directory.

```
psql -f /home/gpadmin/update_bloat_diag.sql -d mytest
```

Run the script during a low activity period. Running the script during a high activity period does not affect database functionality but might affect performance.

Script to Update gp toolkit.gp bloat diag Function and View

```
BEGIN;
CREATE OR REPLACE FUNCTION gp toolkit.gp bloat diag(btdrelpages int,
btdexppages numeric, aotable bool,
    OUT bltidx int, OUT bltdiag text)
AS
$$
    SELECT
       bloatidx,
        CASE
            WHEN bloatidx = 0
                THEN 'no bloat detected'::text
            WHEN bloatidx = 1
                THEN 'moderate amount of bloat suspected'::text
            WHEN bloatidx = 2
                THEN 'significant amount of bloat suspected'::text
            WHEN bloatidx = -1
                THEN 'diagnosis inconclusive or no bloat suspected'::text
        END AS bloatdiag
    FROM
    (
        SELECT
            CASE
                WHEN $3 = 't' THEN 0
                WHEN $1 < 10 AND $2 = 0 THEN -1
                WHEN $2 = 0 THEN 2
                WHEN $1 < $2 THEN 0
                WHEN (\$1/\$2)::numeric > 10 THEN 2
                WHEN (\$1/\$2)::numeric > 3 THEN 1
                ELSE -1
            END AS bloatidx
    ) AS bloatmapping
LANGUAGE SQL READS SQL DATA;
GRANT EXECUTE ON FUNCTION gp toolkit.gp bloat diag(int, numeric, bool, OUT
int, OUT text) TO public;
CREATE OR REPLACE VIEW gp toolkit.gp bloat diag
AS
    SELECT
        btdrelid AS bdirelid,
        fnnspname AS bdinspname,
        fnrelname AS bdirelname,
        btdrelpages AS bdirelpages,
        btdexppages AS bdiexppages,
        bltdiag(bd) AS bdidiag
    FROM
```

Greenplum Database Tools Compatibility

- Client Tools
- Greenplum Command Center

Client Tools

Greenplum releases a number of client tool packages on various platforms that can be used to connect to Greenplum Database and the Greenplum Command Center management tool. The following table describes the compatibility of these packages with this Greenplum Database release.

Tool packages are available from the Pivotal Greenplum page on Pivotal Network.

Table 4: Greenplum Database Client Tools Compatibility

Client Package	Description of Contents	Client Version	Server Versions
Greenplum Clients	Greenplum Database Command-Line Interface (psql)	4.3	4.3
Greenplum Connectivity	Standard PostgreSQL Database Drivers (ODBC, JDBC ¹) PostgreSQL Client C API (libpq)	4.3	4.3
Greenplum Loaders	Greenplum Database Parallel Data Loading Tools (gpfdist, gpload)	4.3 ²	4.3

Note:

¹The JDBC drivers that are shipped with the Greenplum Connectivity Tools are official PostgreSQL JDBC drivers built by the PostgreSQL JDBC Driver team (https://jdbc.postgresql.org).

²Greenplum Database Loaders 4.3.31.0 are compatible with Greenplum Database servers 4.3.5 and later.

The Greenplum Database Client Tools, Load Tools, and Connectivity Tools are supported on the following platforms:

- AIX 7.1 and AIX 7.2 (Client and Load Tools only)
- AIX 5.3L and AIX 6.1 (64-bit)
- AIX 5.3L (32-bit)
- Apple OS X on Intel processors (32-bit)
- HP-UX 11i v3 (B.11.31) Intel Itanium (Client and Load Tools only)
- Red Hat Enterprise Linux i386 (RHEL 5)
- Red Hat Enterprise Linux x86_64 6.x (RHEL 6)
- Red Hat Enterprise Linux x86_64 (RHEL 5)
- SuSE Linux Enterprise Server x86 64 SLES 11
- Solaris 10 SPARC32
- Solaris 10 SPARC64
- Solaris 10 i386
- Solaris 10 x86 64
- Windows 10 (32-bit and 64-bit) (Client and Load Tools only)
- Windows 8 (32-bit and 64-bit) (Client and Load Tools only)
- Windows 7 (32-bit and 64-bit)
- Windows Server 2012 R2 (32-bit and 64-bit) (Client and Load Tools only)
- Windows Server 2012 (32-bit and 64-bit) (Client and Load Tools only)
- Windows Server 2003 R2 (32-bit and 64-bit)
- Windows Server 2008 R2 (64-bit)
- Windows XP (32-bit and 64-bit)

Important: Support for SuSE Linux Enterprise Server 64-bit 10 SP4 has been dropped for Greenplum Database 4.3.31.0.

Greenplum Command Center

Greenplum Command Center monitors system performance metrics, analyzes system health, and allows administrators to perform some management tasks in a Greenplum environment.

Greenplum Command Center is available from the Pivotal Greenplum page on Pivotal Network.

Table 5: Greenplum Command Center Compatibility

Greenplum Database Version	Greenplum Command Center Version
4.3.13.0 and later	3.2.1 and later
4.3.12.0 and earlier	All versions except 3.2.1

Greenplum Database Extensions

Greenplum Database delivers an agile, extensible platform for in-database analytics, leveraging the system's massively parallel architecture. Greenplum Database enables turn-key in-database analytics with Greenplum extensions.

You can download Greenplum extensions packages from *Pivotal Network* and install them using the Greenplum Packager Manager (gppkg). See the *Greenplum Database Utility Guide* for details.

Note that Greenplum Package Manager installation files for extension packages may release outside of standard Database release cycles.

The following table provides information about the compatibility of the Greenplum Database Extensions and their components with this Greenplum Database release.

Note: The PL/Python database extension is already included with the standard Greenplum Database distribution.

Pivotal supplies separate PL/Perl extension packages for Red Hat Enterprise Linux 7.x, 6.x and 5.x. Ensure you install the correct package for your operating system.

Table 6: Greenplum Database Extension Components

PostGIS Proj Geos PL/Java Java JDK	Version 2.0.3 4.8.0 3.3.8 Based on 1.4.0 1.8.0 Update 172
Proj Geos PL/Java	4.8.0 3.3.8 Based on 1.4.0
Geos PL/Java	3.3.8 Based on 1.4.0
PL/Java	Based on 1.4.0
Java JDK	1.8.0 Update 172
	,
PL/Java	Based on 1.4.0
Java JDK	1.6.0_26 Update 31
PL/R	8.3.0.16
₹	3.1.1
PL/R	8.3.0.15
२	3.1.0
PL/R	8.3.0.12
₹	2.13.0
PL/Perl	Based on PostgreSQL 9.1
Perl	5.12.4 on RHEL 7.x, 6.x, 5.x
PL/Perl	Based on PostgreSQL 9.1
Perl	5.16.3 on RHEL 7.x 5.12.4 on RHEL 6.x 5.5.8 on RHEL 5.x
	ava JDK PL/R PL/R PL/R PL/Perl Perl

Greenplum Database Extension	Extension Components		
	Name	Version	
PL/Perl 1.1 for Greenplum Database	PL/Perl	Based on PostgreSQL 9.1	
Database	Perl	5.12.4 on RHEL 5.x	
PL/Perl 1.0 for Greenplum Database	PL/Perl	Based on PostgreSQL 9.1	
Database	Perl	5.12.4 on RHEL 5.x	
Pgcrypto 1.2 for Greenplum Database 4.3.x.x	Pgcrypto	Based on PostgreSQL 8.3	
MADlib 1.x for Greenplum Database 4.3.x.x ¹	MADlib	Based on MADlib version 1.x (1. 15.1 - 1.10, 1.9.1, 1.9)	

Note: Greenplum Database 4.3.31.0 does not support the PostGIS 1.0 extension package.

Greenplum Database 4.3.31.0 supports these minimum Greenplum Database extensions package versions.

Table 7: Greenplum Database 4.3.31.0 Package Version

Greenplum Database Extension	Minimum Package Version	
PostGIS	2.0.2 and release gpdb4.3orca	
PL/Java	1.3.2 and release gp4	
PL/Perl	1.2 and release gpdb4.3orca	
PL/R	2.3 and release gp4	
Pgcrypto (see Note)	1.3 and release gpdb4.3orca	
MADlib	1.9 and release gpdb4.3orca	
Python Data Science Modules	1.0.0 and release gp4	
R Data Science Libraries	1.0.0 and release gp4	

Note: Extension packages for Greenplum Database 4.3.4.x and earlier are not compatible with Greenplum Database 4.3.5.0 and later due to the introduction of GPORCA. Also, extension packages for Greenplum Database 4.3.5.0 and later are not compatible with Greenplum Database 4.3.4.x and earlier.

To use extension packages with Greenplum Database 4.3.31.0, you must install and use Greenplum Database extension packages (gppkg files and contrib modules) that are built for

¹Pivotal recommends that you upgrade to the most recent version of MADlib. For MADlib support and upgrade information, refer to the *MADlib FAQ*. For information on installing the MADlib extension in Greenplum Database, see *Greenplum MADlib Extension for Analytics* in the *Greenplum Database Reference Guide*.

Greenplum Database 4.3.5.0 or later. For custom modules that were used with Greenplum Database 4.3.4.x and earlier, you must rebuild any modules that were built against the provided C language header files for use with Greenplum Database 4.3.31.0.

For the pgcrypto extension, these restrictions apply.

 The pgcrypto extension package version pv1.2 and earlier are not compatible with Greenplum Database 4.3.31.0.

When you upgrade to Greenplum Database 4.3.31.0 and the pgcrypto package version pv1.2 or earlier is installed in your current system, you must uninstall the old pgcrypto extension and install the new pgcrypto extension.

• The pgcrypto extension package version pv1.3 is not compatible with Greenplum Database 4.3.15.0 and earlier. Do not install this release of the pgcrypto extension in systems running Greenplum Database 4.3.15.0 and earlier.

Package File Naming Convention

For Greenplum Database 4.3, this is the package file naming format.

```
pkgname-ver pvpkg-version gpdbrel-OS-version-arch.gppkg
```

This example is the package name for a postGIS package.

```
postgis-ossv2.0.3_pv2.0.1_gpdb4.3-rhel5-x86_64.gppkg
```

pkgname-ver - The package name and optional version of the software that was used to create the package extension. If the package is based on open source software, the version has format ossversion. The version is the version of the open source software that the package is based on. For the postGIS package, ossv2.0.3 specifies that the package is based on postGIS version 2.0.3.

pv*pkg-version* - The package version. The version of the Greenplum Database package. For the postGIS package, pv2.0.1 specifies that the Greenplum Database package version is 2.0.1.

gpdbrel-OS-version-arch - The compatible Greenplum Database release. For the postGIS package, gpdb4.3-rhel5-x86_64 specifies that package is compatible with Greenplum Database 4.3 on Red Hat Enterprise Linux version 5.x, x86 64-bit architecture.

Hadoop Distribution Compatibility

This table lists the supported Hadoop distributions:

Table 8: Supported Hadoop Distributions

Hadoop Distribution	Version	gp_hadoop_ target_version
Pivotal HD ³	Pivotal HD 3.0, 3.0.1	gphd-3.0
	Pivotal HD 2.0, 2.1 Pivotal HD 1.0 ¹	gphd-2.0
Greenplum HD ³	Greenplum HD 1.2	gphd-1.2
	Greenplum HD 1.1	gphd-1.1 (default)
Cloudera	CDH 5.2, 5.3, 5.4.x - 5.8.x	cdh5

Hadoop Distribution	Version	gp_hadoop_ target_version
	CDH 5.0, 5.1	cdh4.1
Hortonworks Data Platform	HDP 2.x	hdp2
MapR ²	MapR 4.x, MapR 5.x	gpmr-1.2
Apache Hadoop	2.x	hadoop2

Notes:

- 1. Pivotal HD 1.0 is a distribution of Hadoop 2.0.
- 2. MapR requires the MapR client.
- **3.** Support for these Hadoop distributions has been deprecated and will be removed in a future release: Pivotal HD and Greenplum HD.

Greenplum Database 4.3.31.0 Documentation

For the latest Greenplum Database documentation go to *Pivotal Greenplum Database Documentation*. Greenplum Database documentation is provided in HTML and PDF formats.

Table 9: Greenplum Database Documentation

Title	Revision
Greenplum Database 4.3.31.0 Release Notes	A01
Greenplum Database 4.3 Installation Guide	A32
Greenplum Database 4.3 Administrator Guide	A48
Greenplum Database 4.3 Reference Guide	A51
Greenplum Database 4.3 Utility Guide	A47
Greenplum Database 4.3 Client Tools for UNIX	A09
Greenplum Database 4.3 Client Tools for Windows	A07
Greenplum Database 4.3 Connectivity Tools for UNIX	A09
Greenplum Database 4.3 Connectivity Tools for Windows	A07
Greenplum Database 4.3 Load Tools for UNIX	A15
Greenplum Database 4.3 Load Tools for Windows	A15
Greenplum Command Center Administrator Guide * Greenplum Workload Manager User Guide *	

Note: * HTML format only. Documentation is at gpcc.docs.pivotal.io.

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